

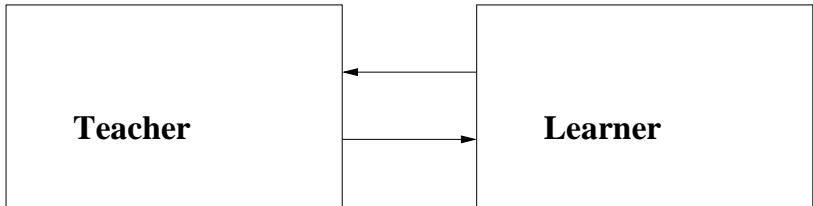
# Learning Nondeterministic Register Automata Using Mappers

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ICIS, Radboud Universiteit Nijmegen

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## Background: grammatical inference



**Angluin's**  $L^*$  algorithm for active learning deterministic FSMs  
Learner poses **membership** and **equivalence** queries

# Learning reactive systems

Angluin's algorithm has been extended to **Mealy machines** by **Niese** and implemented in the **LearnLib** tool.

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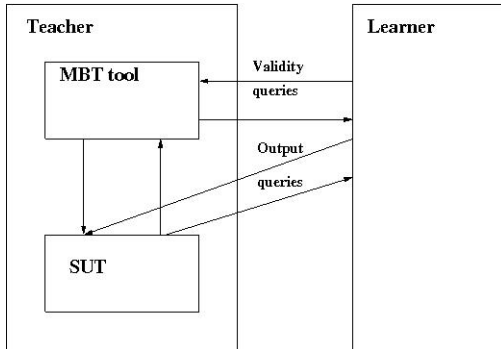
- Membership queries are replaced by output queries: which output is generated in response to a sequence of inputs?

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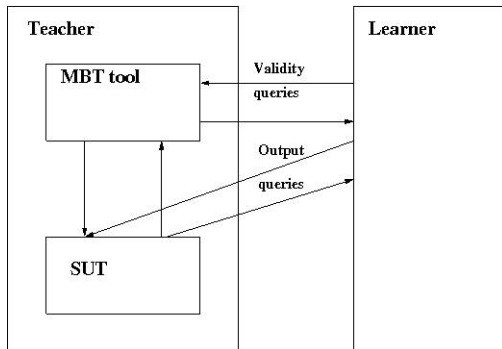
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- Membership queries are replaced by output queries: which output is generated in response to a sequence of inputs?
- Equivalence queries are approximated by test sequences generated using algorithms for model based testing

## Learning reactive systems (cnt)



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Active learning may provide models of reactive systems in situations where we have no access to the code (black box) and not even a specification, e.g. to learn reference implementations

# Challenges

Research challenges:



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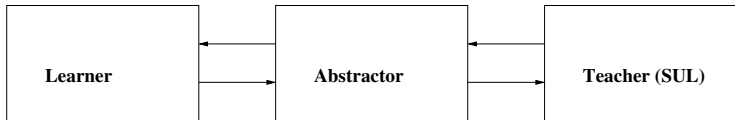
- Handle data and large state spaces

# Challenges

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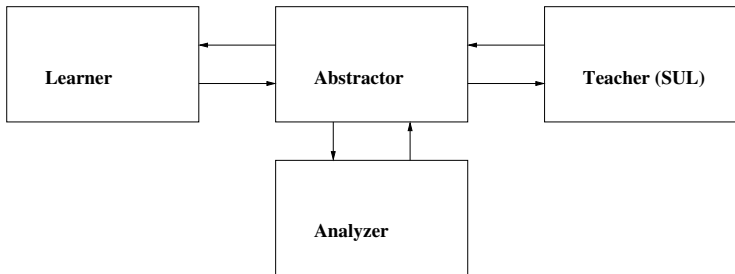
- Handle data and large state spaces
- Handle nondeterministic systems

# Use mappers to handle data



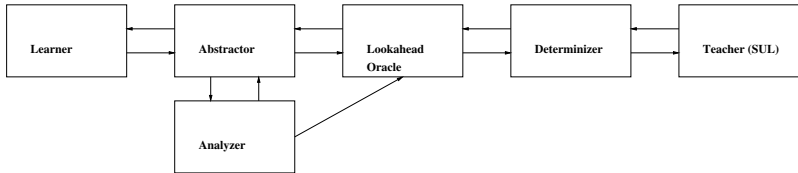
ICTSS'10, FMSD 2015

# Use CEGAR to construct mappers automatically



**FM'12:** automatic construction of abstractions for SUL that can be modeled by (restricted) register automaton; implemented in Tomte

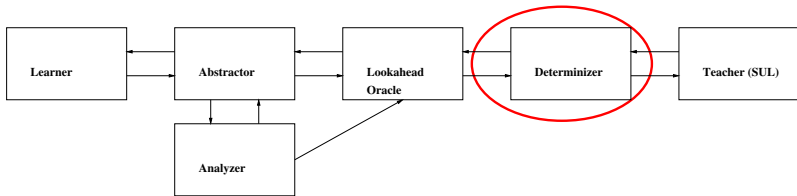
# Learning with four “action heroes”



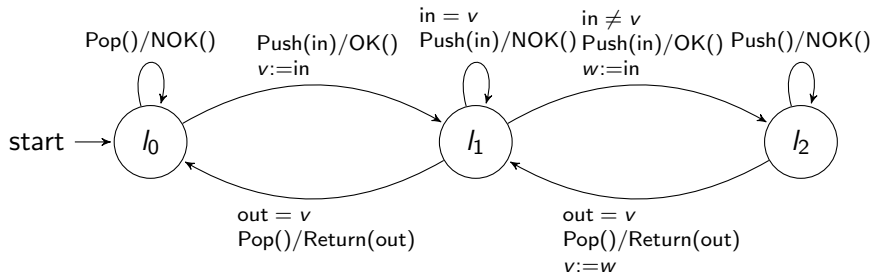
PhD Thesis Fides and ISOLA'14: deterministic register automata  
This work: class of nondeterministic register automata



# Today: the determinizer



## Register automaton for FIFO-set



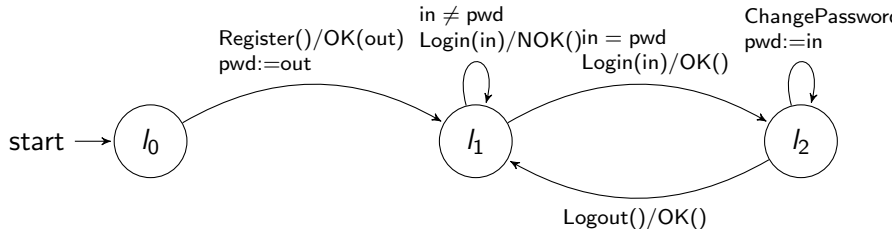
Each action carries a single data parameter (**in** or **out**)

Example trace (data values that do not matter omitted):

Push(12) OK() Push(12) NOK() Push(4) OK Pop() Return(12) Pop() Retu



# Nondeterministic register automaton for login procedure



Example traces:

Register() OK(2345) Login(543) NOK() Login(2345) OK()

Register() OK(9345)

# Formulas

We assume an infinite set  $\mathcal{V}$  of **variables**.

An **atomic formula** is an expression  $x = y$  or  $x \neq y$ , with  $x, y \in \mathcal{V}$ .

A **formula**  $\varphi$  is a conjunction of atomic formulas. Write  $\Phi(X)$  for set of formulas with variables taken from  $X$ .

# Register automata

A **register automaton (RA)** is a tuple  $\mathcal{R} = \langle I, O, V, L, l_0, \Gamma \rangle$ , where

- $I$  and  $O$  are finite sets of **input symbols** and **output symbols**, respectively
- $V \subseteq \mathcal{V}$  is a finite set of **state variables**; we assume special variables in and out not contained in  $V$ ; we write  $V_{i/o} = V \cup \{\text{in}, \text{out}\}$
- $L$  is finite set of **locations**
- $l_0 \in L$  is **initial location**
- $\Gamma \subseteq L \times I \times \Phi(V_{i/o}) \times (V \rightarrow V_{i/o}) \times O \times L$  is a finite set of **transitions**. We require that out does not occur negatively in guards, that is, not in subformulae  $x \neq y$ .

# Mealy machines

A **Mealy machine** is a tuple  $\mathcal{M} = \langle I, O, Q, q^0, \rightarrow \rangle$ , where

- $I$  and  $O$  are nonempty sets of input and output actions, respectively,
- $Q$  is a set of states,
- $q^0 \in Q$  is the initial state, and
- $\rightarrow \subseteq Q \times I \times O \times Q$  is the transition relation.

# Semantics of register automata

Let  $\mathcal{R} = \langle I, O, V, L, l_0, \Gamma \rangle$  be a register automaton.

The **semantics** of  $\mathcal{R}$ , denoted  $\llbracket \mathcal{R} \rrbracket$ , is the Mealy machine  $\langle I \times (\mathbb{Z} \setminus \{0\}), O \times (\mathbb{Z} \setminus \{0\}), L \times \text{Val}(V), (l_0, \xi_0), \rightarrow \rangle$ , where  $\xi_0(v) = 0$  for all  $v \in V$ , and relation  $\rightarrow$  is given by the rule

$$\frac{\langle l, i, g, \varrho, o, l' \rangle \in \Gamma \quad \iota = \xi \cup \{(\text{in}, d), (\text{out}, e)\} \quad \iota \models g \quad \xi' = \iota \circ \varrho}{(l, \xi) \xrightarrow{i(d)/o(e)} (l', \xi')}$$

# Behavior determinism

A **partial run** of Mealy machine  $\mathcal{M}$  is a finite sequence

$$\alpha = q_0 \ i_0 \ o_0 \ q_1 \ i_1 \ o_1 \ q_2 \ \cdots \ i_{n-1} \ o_{n-1} \ q_n,$$

beginning and ending with a state, s.t. for all  $j < n$ ,  $q_j \xrightarrow{i_j/o_j} q_{j+1}$ .

A **run** of  $\mathcal{M}$  is a partial run that starts with initial state  $q^0$ .

A **trace** of  $\mathcal{M}$  is a finite sequence  $\beta = i_0 \ o_0 \ i_1 \ o_1 \ \cdots \ i_{n-1} \ o_{n-1}$  obtained by erasing all states from a run of  $\mathcal{M}$ .

A set  $S$  of traces is **behavior deterministic** if, for all traces  $\beta \ i \ o \in S$  and  $\beta \ i \ o' \in S$ , we have  $o = o'$ .

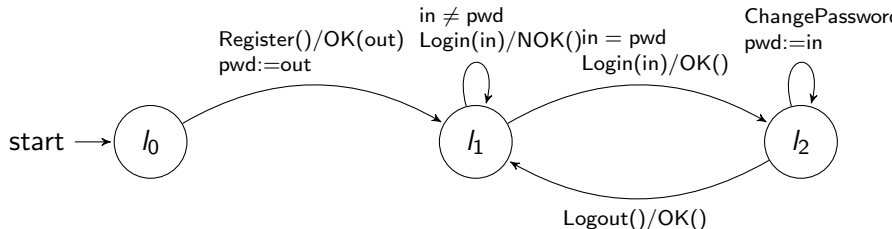
$\mathcal{M}$  is **behavior deterministic** if its set of traces is so.

# Input determinism versus behavior determinism

Register automaton  $\mathcal{R}$  is **behavior deterministic** if  $\llbracket \mathcal{R} \rrbracket$  is behavior deterministic.

$\mathcal{R}$  is **input deterministic** if for each state and for each input action at most one transition may fire.

In our work we only consider input deterministic register automata.



# Automorphisms

A **zero respecting automorphism** is a bijection  $h : \mathbb{Z} \rightarrow \mathbb{Z}$  such that  $h(0) = 0$ .

Zero respecting automorphisms can be lifted to the valuations, states, actions, runs and traces of a register automaton.



# Neat traces

Consider a trace  $\beta$  of register automaton  $\mathcal{R}$ :

$$\beta = i_0(d_0) \ o_0(e_0) \ i_1(d_1) \ o_1(e_1) \ \cdots \ i_{n-1}(d_{n-1}) \ o_{n-1}(e_{n-1})$$

We say that  $\beta$  has **neat inputs** if each input value is either equal to a previous value, or equal to the largest preceding value plus one.

Similarly, we say that  $\beta$  has **neat outputs** if each output value is either equal to a previous value, or equal to the smallest preceding value minus one.

A trace is **neat** if it has neat inputs and neat outputs, and a run is **neat** if its trace is neat.

## We only need to study neat traces!

**Proposition.** For every run  $\alpha$  there exists a zero respecting automorphism  $h$  such that  $h(\alpha)$  is neat.

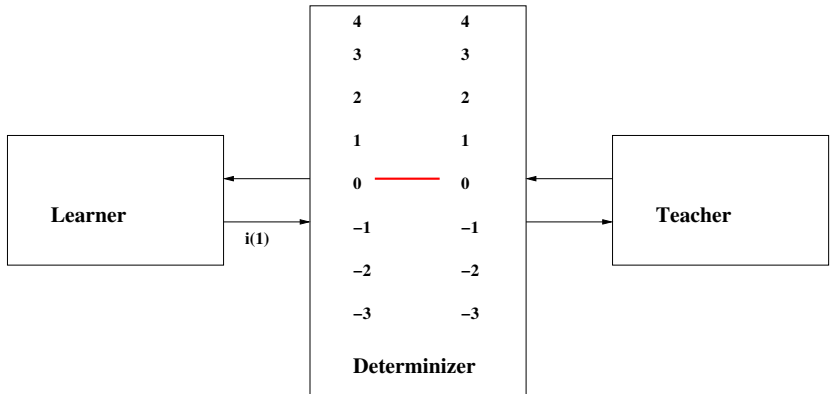
**Problem:** the learner may generate neat inputs only, but we cannot force the SUL to only generate neat outputs.

# Mappers

A **mapper** for a set of inputs  $I$  and a set of outputs  $O$  is a deterministic Mealy machine  $\mathcal{A} = \langle I \cup O, X \cup Y, R, r_0, \delta, \lambda \rangle$ , where

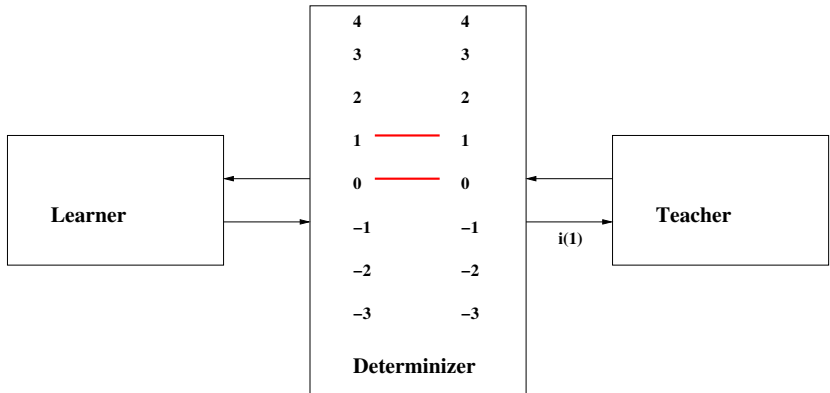
- $I$  and  $O$  are disjoint sets of concrete input and output symbols,
- $X$  and  $Y$  are finite sets of abstract input and output symbols, and
- $\lambda : R \times (I \cup O) \rightarrow (X \cup Y)$ , referred to as the **abstraction function**, respects inputs and outputs, that is, for all  $a \in I \cup O$  and  $r \in R$ ,  $a \in I \Leftrightarrow \lambda(r, a) \in X$ .

# Mapper for determinizer



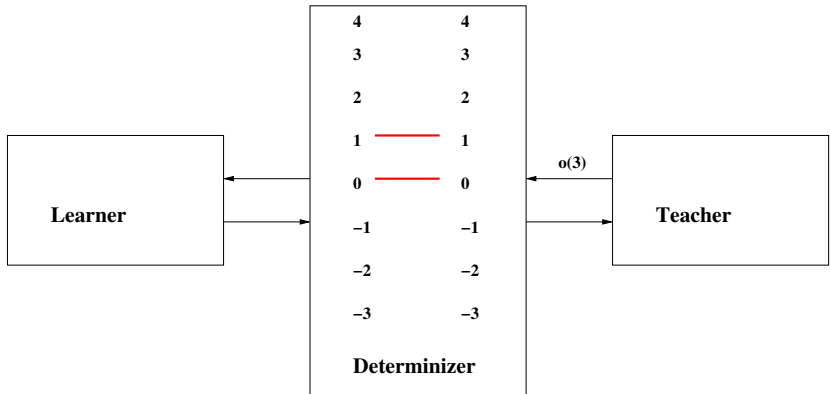
Idea: mapper stores part of automorphism constructed thus far

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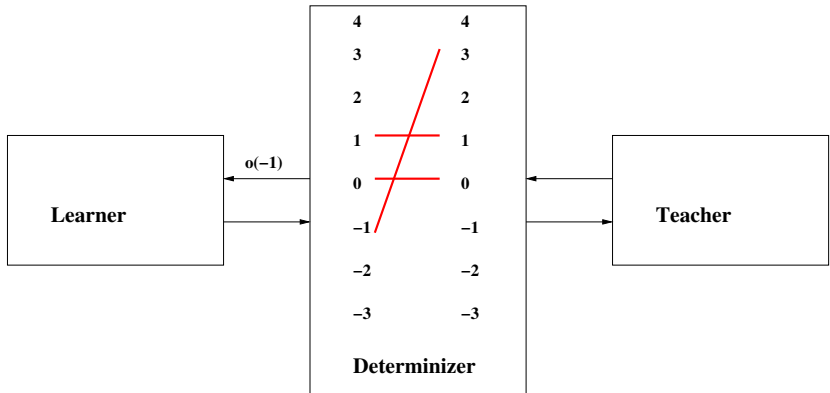
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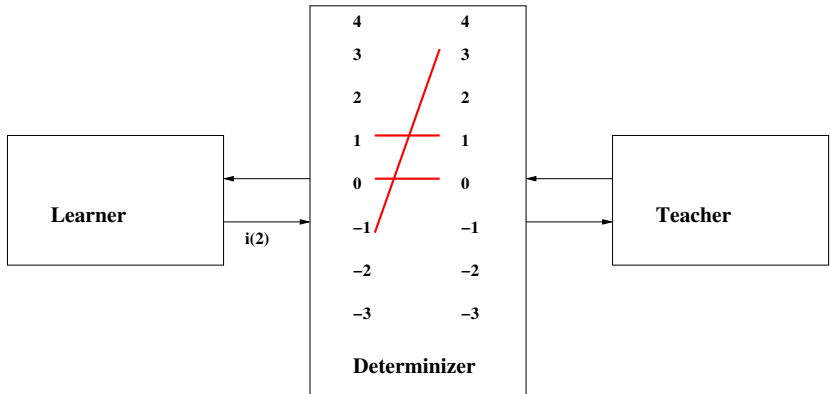
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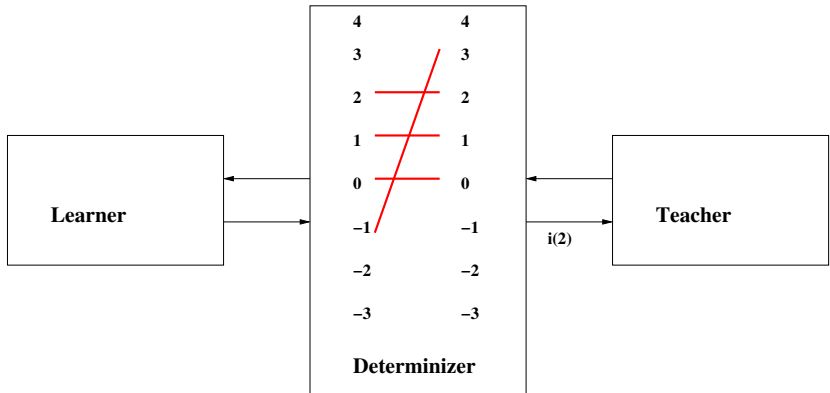
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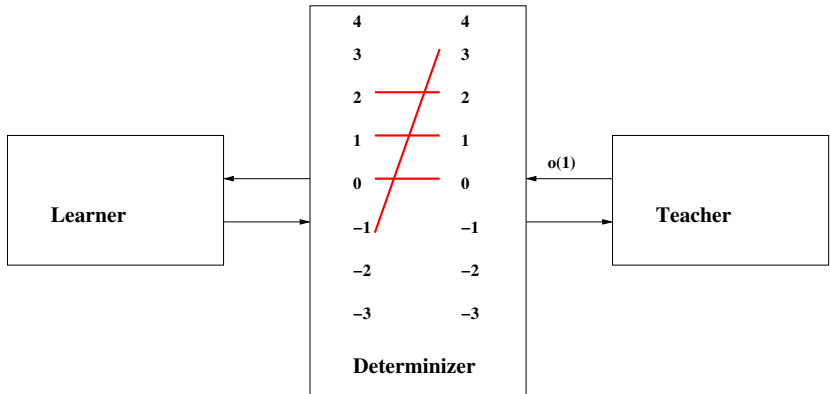


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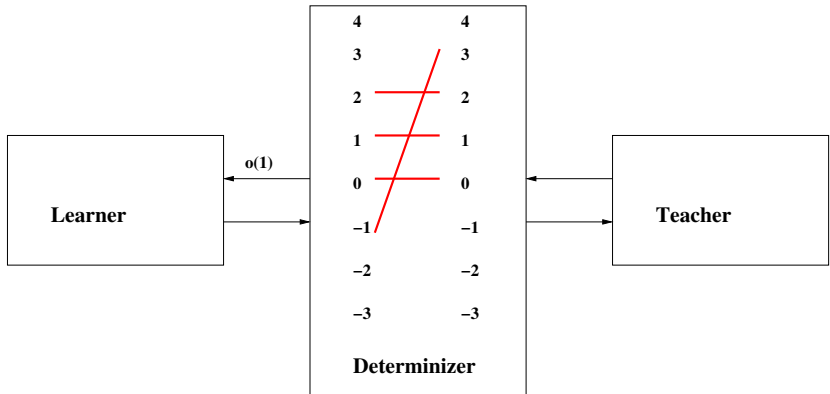
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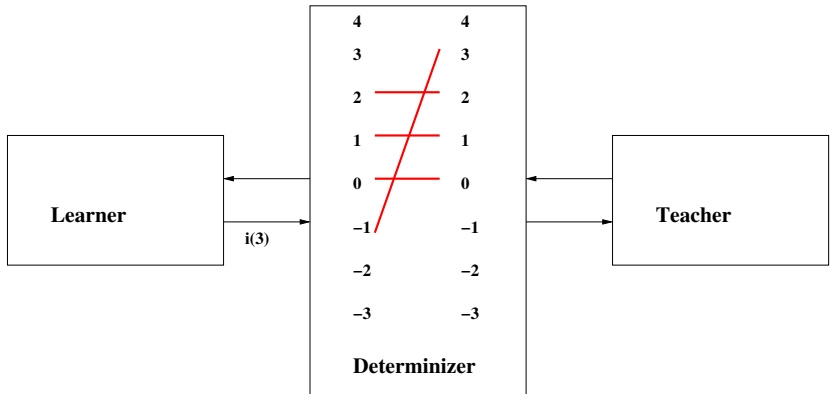
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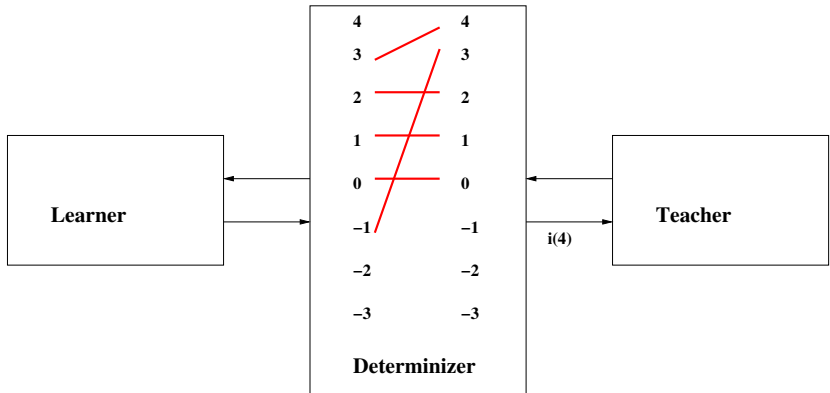
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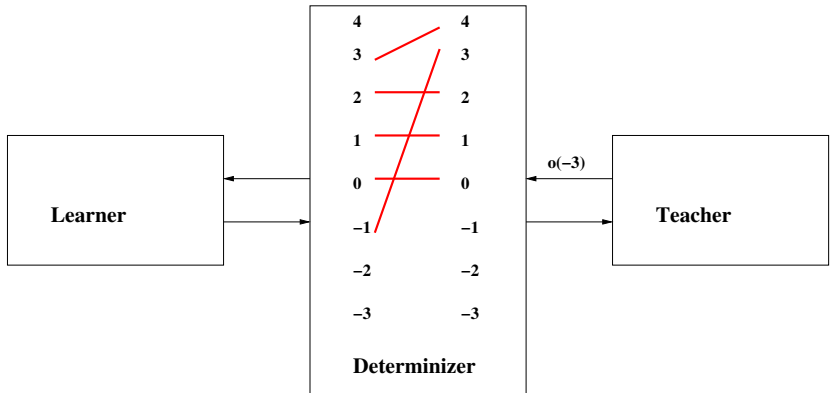
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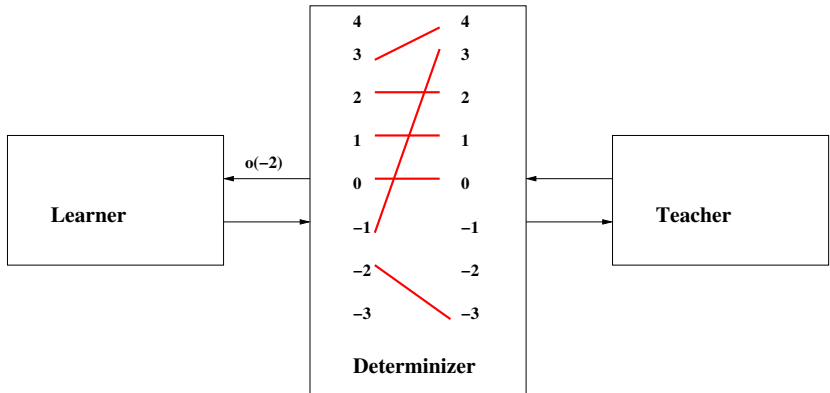
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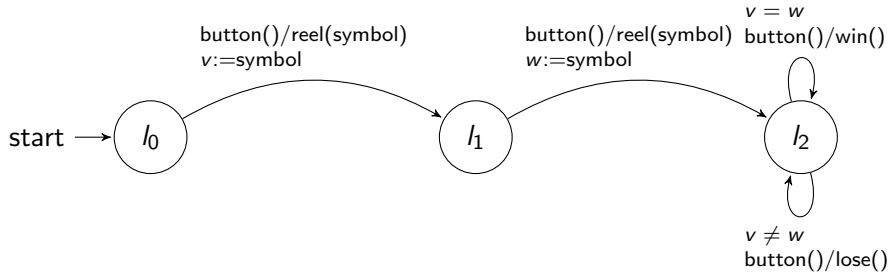
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# A register automaton with nondeterministic behavior





# Collisions

Let  $\beta$  be a trace of  $\mathcal{R}$ .

Then we say that  $\beta$  **ends with a collision** if

- the last output value  $e$  is not fresh, and
- the sequence obtained by replacing  $e$  by any other value (except 0) is also a trace of  $\mathcal{R}$ .

Trace  $\beta$  **has a collision** if it has a prefix that ends with a collision.

# Handling collisions

- Collisions are typically very rare
- Collisions can be detected by repeating experiments
- We just assume that collisions do not occur!!!
- If collisions are rare one cannot learn behavior anyway
- If they occur frequently one should not use our algorithm, but e.g. algorithm of Volpato & Tretmans

**Proposition.** The set of collision free neat traces of an input deterministic register automaton is behavior deterministic.

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- ⑤ Future: extend Tomte to setting with operations on data